



10/006,962 02/22/05

PATENT
512425-2068

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Schafer et al
Patent No. : 6,743,835
For : **PREPARATION OF NONDUSTING FREE-
FLOWING PIGMENT CONCENTRATES**
Issued : June 1, 2004

745 Fifth Avenue
New York, NY 10151

EXPRESS MAIL

Mailing Label Number: EV 375022087

Date of Deposit: February 18, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner of Patents and Trademarks, MAIL STOP PETITIONS, Alexandria, VA 22313

Adam Ahmed

(Typed or printed name of person mailing paper or fee)

A. Ahmed

(Signature of person mailing paper or fee)

PETITION UNDER 37 C.F.R. §1.183

Commissioner of Patents and Trademarks
MAIL STOP PETITIONS
Alexandria, VA 22313

Dear Sir:

The undersigned hereby petitions under 37 C.F.R. §1.183 for correction of the Assignee for the above referenced Patent. A check which includes the petition fee set forth in 37 C.F.R. §1.117(h) accompanies this petition.

Presently, Goldschmidt AG is listed as the only assignee on the patent. It is respectfully requested that Glatt Ingenieurtechnik GmbH, Nordstrasse 12, D-99427 Weimar, Germany, be added to the patent as an assignee as well. Glatt Ingenieurtechnik GmbH was inadvertently not included on the PTOL-85B when the Issue Fee was paid.

02/23/2005 MAHNE1 00000035 500320 10006962

01 FC:1462 270.00 DA 130.00 DP

As required, attached to this Petition is a copy of the Notice of Recordation of Assignment Document, Recordation Cover Sheet and Assignment, in proof of the date the assignment was submitted for recordation.

It is respectfully requested that this petition be granted and that the Assignees of Patent Number 6,743,835 be correctly identified as Goldschmidt AG and Glatt Ingenieurtechnik GmbH.

In the instance that this petition is granted, it is respectfully requested that the file be forwarded to the Certificates of Correction Branch for issuance of a Certificate of Correction. Enclosed please find a copy of check no. 032088 which was previously submitted on July 13, 2004 for consideration of a Request for Certificate of correct under CFR 1.323.

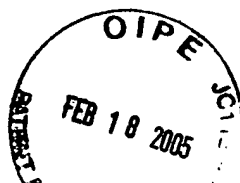
The Commissioner is hereby authorized to charge any additional required fee, or credit any overpayment in fees, to Deposit Account 50-0320.

Respectfully submitted,
FROMMER LAWRENCE & HAUG LLP

By: William F. Lawrence / *MB*
William F. Lawrence
Reg. No. 28,029
(212) 588-0800
31,123



UNITED STATES
PATENT AND
TRADEMARK OFFICE



JULY 25, 2002

FROMMER LAWRENCE & HAUG LLP
WILLIAM F. LAWRENCE
745 FIFTH AVENUE
NEW YORK, NEW YORK 10151

PTAS
FROMMER, LAWRENCE
& HAUG, LLP

Chief Information Officer
Washington, DC 20231
www.uspto.gov



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

**CORRECTED
NOTICE**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/07/2002

REEL/FRAME: 012878/0779

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SCHAFFER, WERNER

DOC DATE: 04/12/2002

ASSIGNOR:

SCHEIBA, MANFRED

DOC DATE: 04/10/2002

ASSIGNOR:

STADTMÜLLER, STEFAN

DOC DATE: 04/10/2002

ASSIGNOR:

WEIMANN, MARKUS

DOC DATE: 04/10/2002

ASSIGNOR:

RUMPLER, KARLHEINZ

DOC DATE: 04/16/2002

ASSIGNOR:

WALTER, ULRICH

DOC DATE: 04/16/2002

ASSIGNEE:

GOLDSCHMIDT AG
GOLDSCHMIDTSTRASSE 100
D-45127 ESSEN, FED REP GERMANY

DOCKETED

012878/0779 PAGE 2

ASSIGNEE:

GLATT INGENIEURTECHNIK GMBH
NORDSTRASSE 12
D-99427 WEIMAR, FED REP GERMANY

SERIAL NUMBER: 10006962
PATENT NUMBER:

FILING DATE: 12/05/2001
ISSUE DATE:

DOROTHY BASMA, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

PATENT
512425-2068

07-24-2002



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Form PTO-1595

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(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings == == ==

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): 1) Werner Schäfer;
2) Manfred Scheiba; 3) Stefan Stadtmüller; 4) Markus Weimann;
5) Karlheinz Rümpler; and 6) Ulrich Walter

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 1) April 12, 2002; 2) April 10, 2002; 3) April 10, 2002;
4) April 10, 2002; 5) April 16, 2002; and 6) April 16, 2002

2. Name and address of receiving party(ies):

Name: **Goldschmidt AG**
Internal Address: Goldschmidtstrasse 100
D-45127 Essen
Germany,

and
Name: **Glatt Ingenieurtechnik GmbH**
Internal Address: Nordstrasse 12
D-99427 Weimar
Germany

Additional name(s) & address(es) attached? ☐ Yes ☒ No

* 2 pages attached.

4. Application number(s) or parent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/006,962

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William F. LawrenceInternal Address: FROMMER LAWRENCE & HAUG LLP745 Fifth AvenueNew York, New York 10151

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)\$40.00

☒ Enclosed☒ Authorized to be charged to deposit account if any additional fees are deemed necessary.

8. Deposit account number: 50-0320

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William F. Lawrence

Name of Person Signing

Signature

April 25, 2002

Date

Total number of pages including cover sheet, attachments, and documents:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

05/13/2002 LMEILLER 00000146 10006962

01 FC:561

40.00 OP

Frommer Lawrence & Haug LLP
File No.: 512425-2068
Serial No.: N/A
Filing Date:

ASSIGNMENT

We,

1. Werner Schäfer, Erftstraße 94, D-45219 Essen, Germany
2. Manfred Scheiba, Breddestraße 15, D-45138 Essen Germany
3. Dr. Stefan Stadtmüller, Dornbuschhegge 7, D-45309 Essen Germany
4. Markus Weimann, Stallmacherstraße 4, D-46240 Bottrop Germany
5. Dr. Karlheinz Rüfpler, Lyonel-Feminger-Straße 9, D-99425 Weimar Germany
6. Dr. Ulrich Walter, Schwabenstraße 2, D-99423 Weimar Germany

respectively, have made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled: *PREPARATION OF NONDUSTING FREE-FLOWING PIGMENT CONCENTRATES* which application was executed by me and Goldschmidt AG, whose address is Goldschmidtstraße 100, D-45127 Essen, Germany, and Glatt Ingenieurtechnik GmbH, Nordstraße 12, D-99427 Weimar, Germany, and which, together with its successors and assigns is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits and privileges hereinafter recited, and of confirming the same or any part thereof heretofore acquired by Assignee.

Now, therefore, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservation:

1. Assign and convey to and confirm in Assignee the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or discoveries or upon said applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;

2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;

3. Authorize and request the Commissioner of Patents of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to

Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct;

4. Warrant that I have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;

5. Bind my heirs and legal representatives, as well as myself to do, upon Assignee's request and at its expense, but without additional consideration to me or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me or my heirs or representatives if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in my control or in the control of my heirs or legal representatives and which may be useful for establishing the facts of my conceptions, disclosures, and reduction to practice of said inventions and discoveries.

In testimony of which I have affixed my signature.

1) Werner Schäfer 04/12/2002
Werner Schäfer Date

2) Manfred Scheiba 04/10/2002
Manfred Scheiba Date

3) Dr. Stefan Stadtmüller 04/10/2002
Dr. Stefan Stadtmüller Date

4) Markus Weimann 04/10/2002
Markus Weimann Date

5) Dr. Karlheinz Rümpler 04/16/2002
Dr. Karlheinz Rümpler Date

6) Dr. Ulrich Walter 04/16/2002
Dr. Ulrich Walter Date

FROMMER LAWRENCE & HAUG LLP

032088

745 ELTH AVENUE
NEW YORK, NY 10151

July 13, 2004

11319488

PAY TO THE ORDER OF COMMISSIONER OF PATENTS AND TRADEMARKS

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1:02:100008891

1:40015751231

DETACH AND RETAIN THIS STATEMENT

FROMMER LAWRENCE & HAUG LLP

THE ATTACHED CHECK IS IN PAYMENT OF ITEMS DESCRIBED BELOW. IF NOT CORRECT PLEASE NOTIFY US PROMPTLY AND RECEIPT DESIRED.

APPLICANT

Applicant, 40

SERIAL NO. 10015751231

FILE # 10015751231

THE Preparation of Nonprovisional Free Drawing Form, 1

☐ APPEAL BRIEF

☐ PETITION FEE

☐ APPEAL FEE

☐ PETITION FOR CANCELLATION

☐ CERTIFICATE OF CORRECTION

☐ PETITION TO REVOKE

☐ DISCLAIMER

☐ RECORDING FEE

☐ EXTENSION OF TIME

☐ REQUEST FOR ORAL HEARING

☐ FEE FOR ADDED CLAIMS

☐ SEC. 8.1M PREPARATION FEE

☐ FILING FEE

☐ STATEMENT OF USE

☐ ISSUE FEE

☐ THE RENEWAL

☐ MAINTENANCE FEE

☐ MISSING PARTS

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